Delaware Health Information Network
Health Care Claims Data Base
DATA SUBMISSION AND USE AGREEMENT

ADDENDUM ONE
Data Submission Guide

DHIN HCCD Contact Information
[Name, title, email, phone]

VERSION 0.2 June 12, 2017

Table of Contents

1 Data Submission Requirements

1.1 General Information

1.1.1 Introduction: The purpose of this document is to provide detailed information to Reporting Entities about how to prepare and submit Claims Data to the HCCD. Data submissions detailed below will include eligibility, medical claims, pharmacy claims, and provider data (Health Care Data). Field definitions and other relevant data associated with these submissions are specified in Exhibit A.

1.1.2 All definitions in this document shall be the same as those contained in the HCCD rule at DE ADC 1-100-103.2.0 and shall supersede the definitions in this document

1.1.3 This Submission Guide applies to both Mandatory Reporting Entities **and** to Voluntary Reporting Entities. Some data elements pertain only to voluntary lines of business and are marked with a "(V)" in the "Required" column. This information is provided to facilitate accurate data submission and is not intended to expand authority conveyed in legislation or rule.

1.1.4 Registration: All Reporting Entities shall provide two points of contact to the HCCD Administrator for each line of business required to submit files to the HCCD:

- Technical lead who is responsible for file production and submission
- Regulatory compliance officer

1.1.5 Additional Documentation: Each Reporting Entity must submit a data dictionary mapping internal system data elements to the data elements defined in this DSG. The documentation should include a detailed description of how the data extracts are created and how the requirements of this DSG and the rule are accomplished, including specifications on what data is being excluded and the parameters that define that excluded data.

1.2 Data to be Submitted

1.2.1 Claims Data Generally

1.2.1.1 Any claim adjudicated during the reporting period should be included in the submitted file. Actions include payment, adjustment or other modification. Claims that have been "soft" denied (denied for incompleteness, incorrect or other administrative reasons) which the data supplier expects to be resubmitted upon correction, do not have to be submitted until corrections have been completed and the claim paid.

1.2.1.2 Each submitted data file shall have control totals and transmission control data as defined in the Header and Trailer Record for each defined file. (see Exhibit A for specific formats).

1.2.1.3 Reporting Entities shall provide documentation prior to submitting data files that describes how an original claim may be linked to all subsequent actions associated with that claim (see Exhibit A-2 for specifics).

1.2.2 Claims Data: Reporting Entities shall report information for all Members, as follows: "**Member**" means individuals, employees, and dependents for which the Reporting Entity has an obligation to adjudicate, pay or disburse claims payments. The term includes covered lives. For employer-sponsored coverage, Members include certificate holders and their dependents. This definition includes members of the State Group Health Insurance Program regardless of state of residence."

Claims Data shall contain the following types of information:

1.2.2.1 Medical Claims: Reporting Entities shall report adjudicated paid claims and encounters for all Members for all covered services provided in all care settings, including but not limited to inpatient, outpatient, professional, therapies, home health, rehabilitative and skilled nursing facility care, durable medical equipment, medical transportation and medical devices.

1.2.2.2 Pharmacy Claims: Reporting Entities shall report all paid pharmacy claims for prescriptions dispensed to Members.

1.2.2.3 Member Eligibility Data

1.2.2.3.1 Reporting Entities must provide a data set that contains information on every Member whether or not the Member utilized services during the reporting period. The file must include member identifiers, subscriber name and identifier, member relationship to subscriber, residence, age, race, ethnicity and language, and other required fields to allow retrieval of related information from pharmacy and medical claims data sets.

1.2.2.3.2 Reporting Entities must flag whether the coverage is primary or secondary using ME028.

1.2.2.4 Provider Data

1.2.2.4.1 Reporting Entities must provide a data set that contains information on every provider for whom claims were adjudicated during the targeted reporting period.

1.2.2.4.2 In the event the same provider delivered and was reimbursed for services rendered from two different physical locations, then the provider data file shall contain two separate records for that same provider reflecting each of those physical locations. One record shall be provided for each unique physical location for a provider.

1.2.3 Coordination of Submissions: If the Reporting Entity subcontracts with a pharmacy benefits manager or any other organization that manages claims for its Members, the Reporting Entity shall be responsible for ensuring that complete and accurate files are submitted to the HCCD from its subcontractors. The Reporting Entity shall ensure that the Member information on the subcontractor's file(s) is consistent with the Member information on the Reporting Entity's eligibility, medical claims and prescription drugs

files. The Reporting Entity shall include utilization and cost information for all services provided to members under any financial arrangement, including subcapitated, bundled and global payment arrangements.

2 File Submission Methods

2.1. SFTP Information: The HCCD shall provide information to each Reporting Entity regarding a secure file submission methodology and access.

3 Submission Schedule

3.1 Initial Data Submissions

Reporting Entities shall follow the Submission Schedule set forth in the HCCD Regulations. The information in this Section 3 is provided to assist in planning. The submission schedule contained in the final HCCD Regulations, Attachment A, supersedes the dates listed below.

3.1.1 Test Files

Reporting Entities shall submit one month of Required Claims Data files containing Member, Claims, Prescription Drugs and a sample of Provider data not more than 180 days after the effective date of this rule or as otherwise approved by the HCCD Administrator.

3.1.2 Historical Files

Reporting Entities shall submit Required Claims Data files for calendar years 2013, 2014, 2015 and 2016 that conform to file formats on the 181st day after the effective date of this rule.

3.1.3 Partial year submission

Reporting Entities shall submit Claims Data files for calendar 2017 and for claims adjudicated in the elapsed months of calendar 2018, as directed by the HCCD Administrator, no later than May 1, 2018.

3.2 Ongoing Data Submission

Reporting Entities shall submit monthly files containing claims paid and encounters adjudicated during the prior calendar month within 30 calendar days of the last day of the following month. The schedule for this submission is provided below and will continue in similar format in subsequent years. Submission dates falling on a weekend or legal holiday are extended to the next following business day.

Submission Due to HCCD	Claims and Eligibility Begin Date	Claims and Eligibility End Date
By January 1	November 1	November 30
By February 1	December 1	December 31
By March 1	January 1	January 31
By April1	February 1	February 28/29
By May 1	March 1	March 31
By June 1	April 1	April 30
By July 1	May 1	May 31

By August 1	June 1	June 30
By September 1	July 1	July 31
By October 1	August 1	August 31
By November 1	September 1	September 30
By December 1	October 1	October 31

4 Data Quality Requirements

4.1 Required Data Elements

The data elements in Exhibit A provide, in addition to field definitions, an indicator regarding required data elements. A required data element must contain a value unless the HCCD approves an override requested by the Reporting Entity. A data element marked as "R" means that a percentage of all records must have a value in this field based on the expected frequency that this data element is available. Data files that do not achieve this threshold percentage for that data element may be rejected or require follow up prior to load into the HCCD. A data element marked as "O" is an optional data element that should be provided when available, but otherwise may contain a null value.

4.2 Data Validation

Data validation and quality edits will be developed in collaboration with Reporting Entities and refined as test data and production data is brought into the HCCD. Data files missing required fields, or when claim line/record line totals do not match, may be rejected on submission. Other data elements will be validated against established ranges as the database is populated and may require manual intervention to ensure the data are correct. Each Reporting Entity will need to work interactively with the HCCD Administrator to develop data extracts that achieve validation and quality specifications. This is the purpose of test data submissions early in the implementation process.

4.3 Overrides and Exceptions

Overrides may be granted, at the discretion of HCCD Administrator, for specific file attributes that cannot be corrected by the Reporting Entity due to system limitations.

5 File Format

5.1 Format Guidelines

All files submitted to the HCCD will be formatted as standard text files. Text files must comply with the following standards:

5.1.1 One line item per row. No single line item of data may contain carriage return or line feed characters.

5.1.2 All rows delimited by the carriage return + line feed character combination.

5.1.3 All fields are variable field length, delimited using the pipe character (ASCII=124). It is imperative that no pipes ('|') appear in the data itself. If your data contains pipes, either remove them or discuss using an alternate delimiter character.

5.1.4 Text fields are *never* demarcated or enclosed in single or double quotes. Any quotes detected are regarded as a part of the actual data.

5.1.5 The first row *always* contains the names of data columns.

5.1.6 Unless otherwise stipulated, numbers (e.g. ID numbers, account numbers, etc.) do not contain spaces, hyphens or other punctuation marks.

5.1.7 Text fields are never padded with leading or trailing spaces or tabs.

5.1.8 Numeric fields are never padded with leading or trailing zeroes.

5.1.9 If a field is not available, or is not applicable, leave it blank. 'Blank' means do not supply any value at all between pipes (including quotes or other characters).

5.2 File Naming Convention

All files submitted to the HCCD shall have a naming convention developed to facilitate file management without requiring access to the contents.

All files names will follow the template:

TESTorPROD_Reporting EntityID_PeriodEndingDateFileTypeVersionNumber.txt

a. Examples
    i. TEST_0000_201606MEv01.txt
    ii. PROD_0000_201606MEv02.txt

- TESTorPROD – TEST for test files; PROD for production files
- Reporting EntityID – This is the Reporting Entity ID assigned to each submitter
- Period ending date expressed as CCYYMM (four-digit calendar year and two-digit month; for example, 201403 indicates a March 2014 end date).
- File Type – Member Eligibility (ME), Medical Claims (MC), Pharmacy Claims (PC), Provider (MP),
- Version number: This is used to differentiate multiple submissions of the same file. This will be important if a file needs to be resubmitted to resolve an issue such as a validation failure. The letter v should be used, followed by two digits, starting with v01. You must include the leading zero. Original submissions of all files should be labeled v01. The HCCD will not accept files that have the same name as an existing file.
- File extension (.txt)

5.3 Data Element Types

date – date data type for dates from 1/1/0001 through 12/31/9999
int – integer (whole number)
decimal/numeric – fixed precision and scale numeric data
char – fixed length non-unicode data with a max of 8,000 characters
varchar – variable length non-unicode data with a maximum of 8,000 characters
text – variable length non-unicode data with a maximum of 2^31 -1 characters

6 Exhibit A - Data Elements

6.1 Member Eligibility Data

The Reporting Entity's Member ID (Member Suffix or Sequence Number) must be unique to an individual. The unique identifier in the eligibility file must be consistent with the unique identifier in the medical claims/pharmacy file. This provides linkage between medical and pharmacy claims during established coverage periods and is critical for the implementation of Episode of Care reporting.

For Historic Data Submissions, report eligibility for all Members during each reporting month. If historical address data is not available, report historical months' eligibility data based on Member's last known or current address.

To reconcile the total number of Members in the historical data submissions, each Reporting Entity shall submit a summary report that totals the number of Members for each month for Historic Data.

Member Eligibility files must be formatted to provide one record per member per month.

6.1.1 Member Eligibility File Header Record

Data Element #	Data Element Name	Type	Max Length	Description/valid values
HD001	Record Type	char	2	ME
HD002	Reporting Entity Code	varchar	8	Distributed by HCCD Administrator
HD003	Reporting Entity Name	varchar	75	Distributed by HCCD Administrator
HD004	Beginning Month	date	6	CCYYMM
HD005	Ending Month	date	6	CCYYMM
HD006	Record count	int	10	Total number of records submitted in the medical eligibility file, excluding header and trailer records

6.1.2 Member Eligibility File Trailer Record

Data Element #	Date Element Name	Type	Max Length	Description/valid values
TR001	Record Type	char	2	ME
TR002	Reporting Entity Code	varchar	8	Distributed by HCCD Administrator
TR003	Reporting Entity Name	varchar	75	Distributed by HCCD Administrator
TR004	Beginning Month	date	6	CCYYMM
TR005	Ending Month	date	6	CCYYMM
TR006	Extraction Date	date	8	CCYYMMDD

6.1.3 Member Eligibility File

(V) signals a data element value that is valid only for Voluntary Reporting Entities.

Data Element #	Reference	Data Element Name	Type	Length	Description/Codes/Sources	Req'd
ME001	N/A	Reporting Entity Code	varchar	8	Distributed by HCCD Administrator	R
ME002	N/A	Reporting Entity Name	varchar	30	Distributed by HCCD Administrator	R
ME003	271/2110C/EB/ /04, 271/2110D/EB/ /04	Insurance Type Code/Product	char	2	See Lookup Table B-1.A	R
ME004	N/A	Year	int	4	4 digit Year for which eligibility is reported in this submission	R
ME005	N/A	Month	char	2	Month for which eligibility is reported in this submission expressed numerical from 01 to 12.	R
ME006	271/2100C/REF/1L/02, 271/2100C/REF/IG/02, 271/2100C/REF/6P/02, 271/2100D/REF/1L/02, 271/2100D/REF/IG/02, 271/2100D/REF/6P/02	Insured Group or Policy Number	varchar	30	Group or policy number - not the number that uniquely identifies the subscriber	R
ME007	271/2110C/EB/ /02, 271/2110D/EB/ /02	Coverage Level Code	char	3	See Lookup Table B-1. I	R
ME008	271/2100C/NM1/MI/09	Subscriber Social Security Number	varchar	9	Subscriber's social security number; Set as null if unavailable	O

Data Element #	Reference	Data Element Name	Type	Length	Description/Codes/Sources	Req'd
ME009	271/2100C/NM1/MI/09	Plan Specific Contract Number	varchar	128	Plan assigned subscriber's contract number; Set as null if contract number = subscriber's social security number or use an alternate unique identifier such as Medicaid ID. Must be an identifier that is unique to the subscriber.	R
ME010	N/A	Member Number	varchar	128	Unique number of the member within the contract. Must be an identifier that is unique to the member. May include a combination of contract number and suffix number to be unique. This column is the unique identifying column for membership and related medical and pharmacy claims. Only one record per eligibility month. ME-010 = MC-009; PC-009	R
ME011	271/2100C/NM1/MI/09, 271/2100D/NM1/MI/09	Member Identification Code	varchar	9	Member's social security number or Medicaid ID. Must be an identifier that is unique to the member. Used for matching member records.	R
ME130		Member Telephone Number	char	10	Member's telephone number on record with Reporting Entity; required if ME011 is blank or unknown; used for matching member records. Do not include parentheses, dashes or periods.	R if ME011 is blank
ME012	271/2100C/INS/Y/02, 271/2100D/INS/N/02	Individual Relationship Code	char	2	Member's relationship to insured – see Lookup Table B-1.B	R
ME013	271/2100C/DMG/ /03, 271/2100D/DMG/ /03	Member Gender	char	1	M – Male F – Female U - UNKNOWN	R

Data Element #	Reference	Data Element Name	Type	Length	Description/Codes/Sources	Req'd
ME014	271/2100C/DMG/D8/02, 271/2100D/DMG/D8/02	Member Date of Birth	date	8	CCYYMMDD	R
ME015	271/2100C/N4/ /01, 271/2100D/N4/ /01	Member City Name of Residence	varchar	30	City name of member residence	R
ME016	271/2100C/N4/ /02, 271/2100D/N4/ /02	Member State or Province	char	2	As defined by the US Postal Service	R
ME017	271/2100C/N4/ /03, 271/2100D/N4/ /03	Member ZIP Code	varchar	11	ZIP Code of member - may include non-US codes. Do not include dash. Plus 4 optional but desired.	R
ME018	N/A	Medical Coverage	char	1	Y – YES N - NO 3 - UNKNOWN	R
ME019	N/A	Prescription Drug Coverage	char	1	Y – YES N - NO 3 - UNKNOWN	R
ME020	N/A	Dental Coverage (V)	char	1	Y – YES N – NO 3 - UNKNOWN	R
ME123	N/A	Behavioral Health	char	1	Y – YES N – NO 3 - UNKNOWN	R
ME021	N/A	Race 1	varchar	6		O
					R1 American Indian/Alaska Native	
					R2 Asian	
					R3 Black/African American	
					R4 Native Hawaiian or other Pacific Islander	
					R5 White	

Data Element #	Reference	Data Element Name	Type	Length	Description/Codes/Sources	Req'd
					R9 Other Race	
					UNKNOW Unknown/Not Specified	
ME022	N/A	Race 2	varchar	6	See code set for ME021.	O
ME023	N/A	Other Race	varchar	15	List race if MC021or MC022 are coded as R9.	O
ME024	N/A	Hispanic Indicator	char	1		O
					Y = Patient is Hispanic/Latino/Spanish	
					N = Patient is not Hispanic/Latino/Spanish	
					U = Unknown	
ME025	N/A	Ethnicity 1	varchar	6		O
					2182-4 Cuban	
					2184-0 Dominican	
					2148-5 Mexican, Mexican American, Chicano	
					2180-8 Puerto Rican	
					2161-8 Salvadoran	
					2155-0 Central American (not otherwise specified)	
					2165-9 South American (not otherwise specified)	
					2060-2 African	
					2058-6 African American	
					AMERCN American	
					2028-9 Asian	
					2029-7 Asian Indian	
					BRAZIL Brazilian	
					2033-9 Cambodian	
					CVERDN Cape Verdean	
					CARIBI Caribbean Island	

Data Element #	Reference	Data Element Name	Type	Length	Description/Codes/Sources	Req'd
					2034-7 Chinese	
					2169-1 Columbian	
					2108-9 European	
					2036-2 Filipino	
					2157-6 Guatemalan	
					2071-9 Haitian	
					2158-4 Honduran	
					2039-6 Japanese	
					2040-4 Korean	
					2041-2 Laotian	
					2118-8 Middle Eastern	
					PORTUG Portuguese	
					RUSSIA Russian	
					EASTEU Eastern European	
					2047-9 Vietnamese	
					OTHER Other Ethnicity	
					UNKNOW Unknown/Not Specified	
ME026	N/A	Ethnicity 2	varchar	6	See code set for ME025.	O
ME027	N/A	Other Ethnicity	varchar	20	List ethnicity if MC025 or MC026 are coded as OTHER.	O
ME028	N/A	Primary Insurance Indicator	char	1	Y – Yes, primary insurance N – No, secondary or tertiary insurance	R

Data Element #	Reference	Data Element Name	Type	Length	Description/Codes/Sources	Req'd
ME029	N/A	Coverage Type	char	3	STN – short-term, non-renewable health insurance (i.e. COBRA) UND – plans underwritten by the insurer OTH – any other plan. Insurers using this code shall obtain prior approval.	R
ME030	N/A	Market Category Code	varchar	4		R
					IND – policies sold and issued directly to individuals (non-group)	
					LGS – policies and issued directly to employers having 101 or more employees (V)	
					GSA – policies sold and issued directly to small employers through a qualified association trust (V)	
					OTH – policies sold to other types of entities. Insurers using this market code shall obtain prior approval.	
					SGS- Policies sold and issued to employers having 2 - 100 employees	
					MED- Medicare and Retiree products.	
					SFP – Self-insured plans (V)	
					MCD - Medicaid	
					GHI- State Group Health Insurance Program	
ME032	N/A	Employer Tax ID	varchar	50	Employer tax ID (V)	R

Data Element #	Reference	Data Element Name	Type	Length	Description/Codes/Sources	Req'd
ME043	N/A	Member Street Address	varchar	50	Physical street address of the covered member	R
ME044	N/A	Employer Group Name	varchar	128	Employer Group Name or Name of the Purchaser/Client IND for individual Policies (V)	R
ME101	271/2100C/NM1/ /03	Subscriber Last Name	varchar	128	The subscriber last name	R
ME102	271/2100C/NM1/ /04	Subscriber First Name	varchar	128	The subscriber first name	R
ME103	271/2100C/NM1/ /05	Subscriber Middle Initial	char	1	The subscriber middle initial	O
ME104	271/2100D/NM1/ /03	Member Last Name	varchar	128	The member last name	R
ME105	271/2100D/NM1/ /04	Member First Name	varchar	128	The member first name	R
ME897	N/A	Plan Effective Date	date	8	CCYYMMDD Date eligibility started for this member under this plan type. The purpose of this data element is to maintain eligibility span for each member.	R

Data Element #	Reference	Data Element Name	Type	Length	Description/Codes/Sources	Req'd
ME045		Marketplace Offering	char	1	Identifies whether a policy was purchased through the Delaware Health Benefits Marketplace (Choose Health Delaware) Y=Commercial small or non-group QHP purchased through the Marketplace N=Commercial small or non-group QHP purchased outside the Marketplace U= Not applicable (plan/product is not offered in the commercial small or non-group market or grandfathered)	R
ME106		Filler	char	1	Filler, leave blank	
ME107		Risk Basis	char	1	S – Self-insured F – Fully insured Default to "F" for grandfathered Plans	R
ME108		Filler	char	1	Filler, leave blank	

Data Element #	Reference	Data Element Name	Type	Length	Description/Codes/Sources	Req'd
ME120		Actuarial Value	decimal	6	Report value as calculated in the most recent version of the HHS Actuarial Value Calculator available at http://cciio.cms.gov/resources/regulations/index.html Size includes decimal point. Required for QHPs: small group and non-group (individual) plans sold inside or outside the Exchange. Default to "0" for Grandfathered plans	R for plans where ME 106 = A or E; otherwise Optional

Data Element #	Reference	Data Element Name	Type	Length	Description/Codes/Sources	Req'd
ME121		Metallic Value	int	1	Metal Level (percentage of Actuarial Value) per federal regulations. Valid values are: 1 – Platinum 2--Gold 3 – Silver 4 – Bronze 0 – Not Applicable Required for small group and non-group (individual) plans sold inside or outside the Marketplace. Use values provided in the most recent version of the HHS Actuarial Value Calculator available at http://cciio.cms.gov/resources/regulations/index.html Default to "0" for Grandfathered plans	R if coverage is sold in the Small Group Market (ME106 = A or E); otherwise Optional

Data Element #	Reference	Data Element Name	Type	Length	Description/Codes/Sources	Req'd
ME122		Grandfather Status	char	1	See definition of "grandfathered plans" in HHS rules CFR 147.140 Y= Yes N = No Required for small group and non-group (individual) plans sold inside or outside the Marketplace.	Required if coverage is sold in the Small Group Market (ME106 = A or E); Otherwise Optional
ME124		PCP NPI	char	10	NPI of Member's PCP NA – if the eligibility does not require a PCP Unknown – if PCP is unknown	R
ME125		PCP Practice Name	Char	50	Common name of the practice accountable for the patient (please use UPPER CASE for all practice names); this may be the physician's name if the physician is a solo practitioner	R
ME126		PCP Name	char	50	Name of the PCP to whom the patient is attributed	R
ME127		Payer's PCP ID	char	10	Internal payer's practice identification number (may be different by payer, e.g., BSID, TIN, or other unique ID)	R

Data Element #	Reference	Data Element Name	Type	Length	Description/Codes/Sources	Req'd
ME128		PCP Attribution Date	date	8	CCYYMMDD	R
ME899	N/A	Record Type	char	2	Value = ME	R

6.2 Medical Claims data

Medical Claims file submissions shall include paid claims and adjudicated encounters for covered services under capitated, global, bundled, episode or other payment arrangement.

6.2.1 Medical Claims File Header Record

Data Element #	Data Element Name	Type	Max Length	Description/valid values
HD001	Record Type	char	2	MC
HD002	Reporting Entity Code	varchar	8	Distributed by HCCD Administrator
HD003	Reporting Entity Name	varchar	75	Distributed by HCCD Administrator
HD004	Beginning Month	date	6	CCYYMM
HD005	Ending Month	date	6	CCYYMM
HD006	Record count	int	10	Total number of records submitted in the medical claims file, excluding header and trailer records

6.2.2 Medical Claims File Trailer Record

Data Element #	Data Element Name	Type	Max Length	Description/valid values
TR001	Record Type	char	2	MC
TR002	Reporting Entity Code	varchar	8	Distributed by HCCD Administrator
TR003	Reporting Entity Name	varchar	75	Distributed by HCCD Administrator
TR004	Beginning Month	date	6	CCYYMM
TR005	Ending Month	date	6	CCYYMM
TR006	Extraction Date	date	8	CCYYMMDD

6.2.3 Medical claims file

Data Element #	Reference	Data Element Name	Type	Length	Description/Codes/Sources	Required
MC001	N/A	Reporting Entity Code	varchar	8	Distributed by HCCD Administrator	R
MC002	N/A	Reporting Entity Name	varchar	30	Distributed by HCCD Administrator	R
MC003	837/2000B/SBR/ /09	Insurance Type /Product Code	char	2	See Lookup Table B-1.A	R
MC004	835/2100/CLP/ /07	Reporting Entity Claim Control Number	varchar	35	Must apply to the entire claim and be unique within the Reporting Entity's system. No partial claims. Only paid (or partially paid) claims.	R
MC005	837/2400/LX/ /01	Line Counter	int	4	Line number for this service. The line counter begins with 1 and is incremented by 1 for each additional service line of a claim. All claims must contain a line 1.	R
MC005A	N/A	Version Number	int	4	The version number of this claim service line. The original claim will have a version number of 0, with the next version being assigned a 1, and each subsequent version being incremented by 1 for that service line. Plans that cannot increment this column may opt to use YYMM as the version number.	R

Data Element #	Reference	Data Element Name	Type	Length	Description/Codes/Sources	Required
MC006	837/2000B/SBR/ /03	Insured Group or Policy Number	varchar	30	Group or policy number - not the number that uniquely identifies the subscriber.	R
MC007	835/2100/NM1/34/09	Subscriber Social Security Number	varchar	9	Subscriber's social security number; Set as null if unavailable	O
MC008	835/2100/NM1/HN/09	Plan Specific Contract Number	varchar	128	Plan assigned subscriber's contract number; Set as null if contract number = subscriber's social security number or use an alternate unique identifier such as Medicaid ID. Must be an identifier that is unique to the subscriber.	R
MC009	N/A	Member Number	varchar	128	Unique number of the member within the contract. Must be an identifier that is unique to the member. May include a combination of contract number and suffix number to be unique. This column is the unique identifying column for membership and related medical and pharmacy claims. Only one record per eligibility month per Eligibility year. MC-009=ME-010; PC-009	R
MC010	835/2100/NM1/MI/08 09	Member Identification Code (patient)	varchar	9	Member's social security number or Medicaid ID. Must be an identifier that is unique to the member. Used for matching member records..	R

Data Element #	Reference	Data Element Name	Type	Length	Description/Codes/Sources	Required
MC130		Member Telephone Number	char	10	Member's telephone number on record with Reporting Entity; required if MC011 is blank or unknown; used for matching member records. Do not include parentheses, dashes or periods.	R if MC011 is blank
MC011	837/2000B/SBR/ /02, 837/2000C/PAT/ /01, 837/2320/SBR/ /02	Individual Relationship Code	char	2	Member's relationship to insured – Reporting Entities will map their available codes to those listed in Lookup Table B-1.B	R
MC012	837/2010CA/DMG/ /03	Member Gender	char	1	M – Male F – Female U – Unknown	R
MC013	837/2010CA/DMG/D8/02	Member Date of Birth	date	8	CCYYMMDD	R
MC014	837/2010CA/N4/ /01	Member City Name of Residence	varchar	30	City name of member of residence	R
MC107		Member Street Address	varchar	50	Physical street address of the covered member	TH
MC015	837/2010CA/N4/ /02	Member State or Province	char	2	As defined by the US Postal Service	R
MC016	837/2010CA/N4/ /03	Member ZIP Code	varchar	11	ZIP Code of member - may include non-US codes. Do not include dash. Plus 4 optional but desired.	R

Data Element #	Reference	Data Element Name	Type	Length	Description/Codes/Sources	Required
MC017	N/A	Date Service Approved/Accounts Payable Date/Actual Paid Date	date	8	CCYYMMDD	R
MC018	837/2300/DTP/435/03	Admission Date	date	8	Required for all inpatient claims. CCYYMMDD	O (inpatient claims only)
MC019	837/2300/DTP/435/03	Admission Hour	char	4	Required for all inpatient claims. Time is expressed in military time - HHMM	O (inpatient claims only)
MC020	837/2300/CL1/ /01	Admission Type	int	1	Required for all inpatient claims (SOURCE: National Uniform Billing Data Element Specifications)	O (inpatient claims only)
					1 Emergency	
					2 Urgent	
					3 Elective	
					4 Newborn	
					5 Trauma Center	
					9 Information not available	
MC021	837/2300/CL1/ /02	Admission Source	char	1	Required for all inpatient claims (SOURCE: National Uniform Billing Data Element Specifications)	O (inpatient claims only)
MC022	837/2300/DTP/096/03	Discharge Hour	int	4	Time expressed in military time – HHMM	R for all inpatient claims O for outpatient

Data Element #	Reference	Data Element Name	Type	Length	Description/Codes/Sources	Required
MC023	837/2300/CL1/ /03	Discharge Status	char	2	Required for all inpatient claims. defaults: IP: default '00' = unknown OP: default '01' = home See Lookup Table B-1.	R for all inpatient claims O for outpatient
MC024	835/2100/NM1/BD/09, 835/2100/NM1/BS/09, 835/2100/NM1/MC/09, 835/2100/NM1/PC/09	Service Provider Number	varchar	30	Reporting Entity assigned service provider number. Submit facility for institutional claims; physician or healthcare professional for professional claims.	R
MC025	835/2100/NM1/FI/09	Service Provider Tax ID Number	varchar	10	Federal tax identification number	R
MC026	professional: 837/2420A/NM1/XX/09; 837/2310B/NM1/XX/09; institutional: 837/2420A/NM1/XX/09; 837/2420C/NM1/XX/09; 837/2310A/NM1/XX/09	Service National Provider ID	varchar	20	National Provider ID. This data element pertains to the entity or individual directly providing the service.	R
MC027	professional: 837/2420A/NM1/82/02; 837/2310B/NM1/82/02; institutional: 837/2420A/NM1/72/02; 837/2420C/NM1/82/02; 837/2310A/NM1/71/02	Service Provider Entity Type Qualifier	char	1	HIPAA provider taxonomy classifies provider groups (clinicians who bill as a group practice or under a corporate name, even if that group is composed of one provider) as a "person", and these shall be coded as a person. Health care claims processors shall code according to:	R
					1 Person	

Data Element #	Reference	Data Element Name	Type	Length	Description/Codes/Sources	Required
					2 Non-Person Entity	
MC028	professional: 837/2420A/NM1/82/04; 837/2310B/NM1/82/04; institutional: 837/2420A/NM1/72/04; 837/2420C/NM1/82/04; 837/2310A/NM1/71/04	Service Provider First Name	varchar	25	Individual first name. Set to null if provider is a facility or organization.	R
MC029	professional: 837/2420A/NM1/82/05; 837/2310B/NM1/82/05; institutional: 837/2420A/NM1/72/05; 837/2420C/NM1/82/05; 837/2310A/NM1/71/05	Service Provider Middle Name	varchar	25	Individual middle name or initial. Set to null if provider is a facility or organization.	O
MC030	professional: 837/2420A/NM1/82/03; 837/2310B/NM1/82/03; institutional: 837/2420A/NM1/72/03; 837/2420C/NM1/82/03; 837/2310A/NM1/71/03	Service Provider Last Name or Organization Name	varchar	60	Full name of provider organization or last name of individual provider	R

Data Element #	Reference	Data Element Name	Type	Length	Description/Codes/Sources	Required
MC031	professional: 837/2420A/NM1/82/07; 837/2310B/NM1/82/07; institutional: 837/2420A/NM1/72/07; 837/2420C/NM1/82/07; 837/2310A/NM1/71/07	Service Provider Suffix	varchar	10	Suffix to individual name. Set to null if provider is a facility or organization. The service provider suffix shall be used to capture the generation of the individual clinician (e.g., Jr., Sr., III), if applicable, rather than the clinician's degree (e.g., MD, LCSW).	O
MC032	professional: 837/2420A/PRV/PE/03; 837/2310B/PRV/PE/03; institutional: 837/2310A/PRV/AT/03	Service Provider Specialty	varchar	10	Prefer CMS specialty or taxonomy codes. Homegrown codes can be used but a lookup is required. A Dictionary for homegrown codes must be supplied during testing.	R
MC108		Service Provider Street Address	varchar	50	Physical practice location street address of the provider administering the services	R
MC033	professional: 837/2420C/N4/ /01; 837/2310C/N4/ /01; institutional: 837/2310E/N4/ /01	Service Provider City Name	varchar	30	City name of provider - preferably practice location	R
MC034	professional: 837/2420C/N4/ /02; 837/2310C/N4/ /02; institutional: 837/2310E/N4/ /02	Service Provider State or Province	char	2	As defined by the US Postal Service	R

Data Element #	Reference	Data Element Name	Type	Length	Description/Codes/Sources	Required
MC035	professional: 837/2420C/N4/ /03; 837/2310C/N4/ /03; institutional: 837/2310E/N4/ /03	Service Provider ZIP Code	varchar	11	ZIP Code of provider - may include non-US codes; do not include dash. Plus 4 optional but desired.	R
MC036	837/2300/CLM/ /05-1	Type of Bill – Institutional	char	3	Required for institutional claims; Not to be used for professional claims See Lookup Table B-1.D	R (institutional claims only)
MC037	837/2300/CLM/ /05-1	Place of Service	char	2	Required for professional claims. Not to be used for institutional claims. Map where you can and default to "99" for all others. See Lookup Table B-1.E	R (professional claims only)
MC038	835/2100/CLP/ /02	Claim Status	char	2	See Lookup Table B-1.F	R
MC039	837/2300/HI/BJ/02-1-2	Admitting Diagnosis	varchar	7	Required on all inpatient admission claims and encounters. ICD-9-CM or ICD-10-CM. Do not code decimal point.	R- inpatient claims O- outpatient
MC898	N/A	ICD-9 / ICD-10 Flag	char	1	0 This claim contains ICD-9-CM codes 1 This claim contains ICD-10-CM codes The purpose of this field is to identify which code set is being utilized.	R
MC040	837/2300/HI/BN/03-1-2	E-Code	varchar	7	Describes an injury, poisoning or adverse effect. ICD-9-CM or ICD-10-CM. Do not code decimal point.	O
MC041	837/2300/HI/BK/01-2	Principal Diagnosis	varchar	7	ICD-9-CM or ICD-10_CM. Do not code decimal point.	R

Data Element #	Reference	Data Element Name	Type	Length	Description/Codes/Sources	Required
MC042	837/2300/HI/BF/01-2	Other Diagnosis – 1	varchar	7	ICD-9-CM or ICD-10_CM. Do not code decimal point.	O
MC043	837/2300/HI/BF/02-2	Other Diagnosis – 2	varchar	7	ICD-9-CM or ICD-10_CM. Do not code decimal point.	O
MC044	837/2300/HI/BF/03-2	Other Diagnosis – 3	varchar	7	ICD-9-CM or ICD-10_CM. Do not code decimal point.	O
MC045	837/2300/HI/BF/04-2	Other Diagnosis – 4	varchar	7	ICD-9-CM or ICD-10_CM. Do not code decimal point.	O
MC046	837/2300/HI/BF/05-2	Other Diagnosis – 5	varchar	7	ICD-9-CM or ICD-10_CM. Do not code decimal point.	O
MC047	837/2300/HI/BF/06-2	Other Diagnosis – 6	varchar	7	ICD-9-CM or ICD-10_CM. Do not code decimal point.	O
MC048	837/2300/HI/BF/07-2	Other Diagnosis – 7	varchar	7	ICD-9-CM or ICD-10_CM. Do not code decimal point.	O
MC049	837/2300/HI/BF/08-2	Other Diagnosis – 8	varchar	7	ICD-9-CM or ICD-10_CM. Do not code decimal point.	O
MC050	837/2300/HI/BF/09-2	Other Diagnosis – 9	varchar	7	ICD-9-CM or ICD-10_CM. Do not code decimal point.	O
MC051	837/2300/HI/BF/10-2	Other Diagnosis – 10	varchar	7	ICD-9-CM or ICD-10_CM. Do not code decimal point.	O
MC052	837/2300/HI/BF/11-2	Other Diagnosis – 11	varchar	7	ICD-9-CM or ICD-10_CM. Do not code decimal point.	O
MC053	837/2300/HI/BF/12-2	Other Diagnosis – 12	varchar	7	ICD-9-CM or ICD-10_CM. Do not code decimal point.	O

Data Element #	Reference	Data Element Name	Type	Length	Description/Codes/Sources	Required
MC054	835/2110/SVC/NU/01-2	Revenue Code	char	4	National Uniform Billing Committee Codes. Code using leading zeroes, left justified, and four digits.	R for Institutional Claims only, otherwise leave blank
MC055	835/2110/SVC/HC/01-2	Outpatient Procedure Code	varchar	10	Health Care Common Procedural Coding System (HCPCS); this includes the CPT codes of the American Medical Association. Required for Outpatient and Professional claims only.	R for Outpatient and Professional Claims only; otherwise leave blank
MC056	835/2110/SVC/HC/01-3	Procedure Modifier – 1	char	2	Procedure modifier required when a modifier clarifies/improves the reporting accuracy of the associated procedure code. Required for Outpatient and Professional claims only.	R for Outpatient and Professional Claims only; otherwise leave blank

Data Element #	Reference	Data Element Name	Type	Length	Description/Codes/Sources	Required
MC057	835/2110/SVC/HC/01-4	Procedure Modifier – 2	char	2	Procedure modifier required when a modifier clarifies/improves the reporting accuracy of the associated procedure code. Required for Outpatient and Professional claims only.	R for Outpatient and Professional Claims only; otherwise leave blank
MC058	835/2110/SVC/ID/01-2	ICD-9-CM or ICD-10 Procedure Code	char	7	Primary procedure code for this line of service. Do not code decimal point. Default to Blank	R for Inpatient Claims only; otherwise leave blank
MC059	835/2110/DTM/150/02	Date of Service – From	date	8	First date of service for this service line. CCYYMMDD	R
MC060	835/2110/DTM/151/02	Date of Service – Thru	date	8	Last date of service for this service line. CCYYMMDD	R
MC061	835/2110/SVC/ /05	Quantity	int	3	Count of services performed, which shall be set equal to one on all observation bed service lines and should be set equal to zero on all other room and board service lines, regardless of the length of stay.	R
MC062	835/2110/SVC/ /02	Charge Amount	int	10	Do not code decimal point or provide any punctuation where $1,000.00 converted to 100000 Same for all financial data that follows.	R

Data Element #	Reference	Data Element Name	Type	Length	Description/Codes/Sources	Required
MC063	835/2110/SVC/ /03	Paid Amount	int	10	Includes any withhold amounts. Do not code decimal point. For capitated claims set to zero.	R
MC064	N/A	Prepaid Amount	int	10	For capitated services, the fee for service equivalent amount. Do not code decimal point.	R
MC065	N/A	Co-pay Amount	int	10	The preset, fixed dollar amount for which the individual is responsible. Do not code decimal point.	R
MC066	N/A	Coinsurance Amount	int	10	The dollar amount an individual is responsible for – not the percentage. Do not code decimal point.	R
MC067	N/A	Deductible Amount	int	10	Do not code decimal point.	R
MC068	837/2300/CLM/ /01	Patient Account/Control Number	varchar	20	Number assigned by hospital	O
MC069	N/A	Discharge Date	date	8	Date patient discharged. Required for all inpatient claims. CCYYMMDD	R for all inpatient Claims O for Outpatient
MC070	N/A	Service Provider Country Name	varchar	30	Code US for United States.	R

Data Element #	Reference	Data Element Name	Type	Length	Description/Codes/Sources	Required
MC071	837/2300/HI/DR/01-2	DRG	varchar	10	Insurers and health care claims processors shall code using the CMS methodology when available. Precedence shall be given to DRGs transmitted from the hospital provider. When the CMS methodology for DRGs is not available, but the DRG system is used, the insurer shall format the DRG and the complexity level within the same field with an "A" prefix, and with a hyphen separating the DRG and the complexity level (e.g. AXXX-XX).	O
MC072	N/A	DRG Version	char	2	Version number of the grouper used	O
MC073	835/2110/REF/APC/02	APC	char	4	Insurers and health care claims processors shall code using the CMS methodology when available. Precedence shall be given to APCs transmitted from the health care provider.	O
MC074	N/A	APC Version	char	2	Version number of the grouper used	O
MC075	837/2410/LIN/N4/03	NDC Drug Code	varchar	11	Report the NDC code used only when a medication is paid for as part of a medical claim or when a DME device has an NDC code. J codes should be submitted under procedure code (MC055), and have a procedure code type of 'HCPCS.	R; Set as null if unavailable

Data Element #	Reference	Data Element Name	Type	Length	Description/Codes/Sources	Required
MC076	837/2010AA/NM1/ID/09	Billing Provider Number	varchar	30	Reporting Entity assigned billing provider number. This number should be the identifier used by the Reporting Entity for internal identification purposes, and does not routinely change.	R
MC077	837/2010AA/NM1/XX/09	National Billing Provider ID	varchar	20	National Provider ID	R
MC078	837/2010AA/NM1/ /03	Billing Provider Last Name or Organization Name	varchar	60	Full name of provider billing organization or last name of individual billing provider.	R
MC101	837/2010BA/NM1/ /03	Subscriber Last Name	varchar	128	Subscriber last name	R
MC102	837/2010BA/NM1/ /04	Subscriber First Name	varchar	128	Subscriber first name	R
MC103	837/2010BA/NM1/ /05	Subscriber Middle Initial	char	1	Subscriber middle initial	O
MC104	837/2010CA/NM1/ /03	Member Last Name	varchar	128		R
MC105	837/2010CA/NM1/ /04	Member First Name	varchar	128		R
MC106	837/2010CA/NM1/ /05	Member Middle Initial	char	1		O

Data Element #	Reference	Data Element Name	Type	Length	Description/Codes/Sources	Required
MC201A		Present on Admission – PDX	varchar	1	Code indicating the presence of diagnosis at the time of admission See Table B-1.G for valid values.	R (Inpatient Only, otherwise leave blank)
MC201B		Present on Admission – DX1	varchar	1	Code indicating the presence of diagnosis at the time of admission for MC201A See Table B-1.G for valid values.	R if 201A has a value (Inpatient Only, otherwise leave blank
MC201C		Present on Admission – DX2	varchar	1	Code indicating the presence of diagnosis at the time of admission See Table B-1.G for valid values.	R (Inpatient Only, otherwise leave blank
MC201D		Present on Admission – DX3	varchar	1	Code indicating the presence of diagnosis at the time of admission See Table B-1.G for valid values.	R (Inpatient Only, otherwise leave blank
MC201E		Present on Admission – DX4	varchar	1	Code indicating the presence of diagnosis at the time of admission See Table B-1.G for valid values.	R (Inpatient Only, otherwise leave blank

Data Element #	Reference	Data Element Name	Type	Length	Description/Codes/Sources	Required
MC201F		Present on Admission – DX5	varchar	1	Code indicating the presence of diagnosis at the time of admission See Table B-1.G for valid values.	R (Inpatient Only, otherwise leave blank
MC201G		Present on Admission – DX6	varchar	1	Code indicating the presence of diagnosis at the time of admission See Table B-1.G for valid values.	R (Inpatient Only, otherwise leave blank
MC201H		Present on Admission – DX7	varchar	1	Code indicating the presence of diagnosis at the time of admission See Table B-1.G for valid values.	R (Inpatient Only, otherwise leave blank
MC201I		Present on Admission – DX8	varchar	1	Code indicating the presence of diagnosis at the time of admission See Table B-1.G for valid values.	R (Inpatient Only, otherwise leave blank
MC201J		Present on Admission – DX9	varchar	1	Code indicating the presence of diagnosis at the time of admission See Table B-1.G for valid values.	R (Inpatient Only, otherwise leave blank

Data Element #	Reference	Data Element Name	Type	Length	Description/Codes/Sources	Required
MC201K		Present on Admission – DX10	varchar	1	Code indicating the presence of diagnosis at the time of admission See Table B-1.G for valid values.	R (Inpatient Only, otherwise leave blank
MC201L		Present on Admission – DX11	varchar	1	Code indicating the presence of diagnosis at the time of admission See Table B-1.G for valid values.	R (Inpatient Only, otherwise leave blank
MC201M		Present on Admission – DX12	varchar	1	Code indicating the presence of diagnosis at the time of admission See Table B-1.G for valid values.	R (Inpatient Only, otherwise leave blank
MC205		ICD-9-CM or ICD-10-CM Procedure Date	date	8	Date MC058 was performed	R
MC058A	835/2110/SVC/ID/01-2	ICD-9-CM Procedure Code or ICD-10-CM Procedure code	char	7	Secondary procedure code for this line of service. Do not code decimal point.	R Inpatient Only, optional for O/P Default to blank

Data Element #	Reference	Data Element Name	Type	Length	Description/Codes/Sources	Required
MC205A		ICD-9-CM or ICD-10-CM Procedure Date	date	8	Date MC058A was performed	R when MC058A is populated Default to blank if not present
MC058B	835/2110/SVC/ID/01-2	ICD-9-CM Procedure Code or ICD-10-CM Procedure code	char	7	Secondary procedure code for this line of service. Do not code decimal point.	R Inpatient Only, optional for O/P Default to blank if not present
MC205B		ICD-9-CM or ICD-10-CM Procedure Date	date	8	Date MC058B was performed	R when MC058B is populated Default to blank if not present
MC058C	835/2110/SVC/ID/01-2	ICD-9-CM Procedure Code or ICD-10-CM Procedure code	char	7	Secondary procedure code for this line of service. Do not code decimal point.	R Inpatient Only, optional for O/P Default to blank if not present

Data Element #	Reference	Data Element Name	Type	Length	Description/Codes/Sources	Required
MC205C		ICD-9-CM or ICD-10-CM Procedure Date	date	8	Date MC058C was performed	R when MC058C is populated Default to blank if not present
MC058D	835/2110/SVC/ID/01-2	ICD-9-CM Procedure Code or ICD-10-CM Procedure code	char	7	Secondary procedure code for this line of service. Do not code decimal point.	R Inpatient Only, optional for O/P Default to blank if not present
MC205D		ICD-9-CM or ICD-10-CM Procedure Date	date	8	Date MC058E was performed	R when MC058D is populated Default to blank if not present
MC058E	835/2110/SVC/ID/01-2	ICD-9-CM Procedure Code or ICD-10-CM Procedure code	char	7	Secondary procedure code for this line of service. Do not code decimal point.	R Inpatient Only, optional for O/P Default to blank if not present

Data Element #	Reference	Data Element Name	Type	Length	Description/Codes/Sources	Required
MC205E		ICD-9-CM or ICD-10-CM Procedure Date	date	8	Date MC058E was performed	R when MC058E is populated Default to blank if not present
MC206	N/A	Capitated Service Indicator	char	1	Y – services are paid under a capitated arrangement N – services are not paid under a capitated arrangement U – unknown	R
MC207		Provider network indicator	char	1	Servicing provider is a participating provider. Y = Yes N = No U = unknown	R
MC208		Self-Funded Claim Indicator	char	1	Y = Yes, Self-Funded claim N = No, Other	R
MC899	N/A	Record Type	char	2	Value = MC	

6.3 Pharmacy Claims Data

Pharmacy Claims data file submissions shall include all claims for covered pharmaceutical services provided to Members.

6.3.1 Pharmacy Claims File Header Record

Data Element #	Data Element Name	Type	Max Length	Description/valid values
HD001	Record Type	char	2	PC
HD002	Reporting Entity Code	char	8	Distributed by HCCD Administrator
HD003	Reporting Entity Name	char	75	Distributed by HCCD Administrator
HD004	Beginning Month	date	6	CCYYMM
HD005	Ending Month	date	6	CCYYMM
HD006	Record count	int	10	Total number of records submitted in the Pharmacy claims file, excluding header and trailer records

6.3.2 Pharmacy Claims File Trailer Record

Data Element #	Data Element Name	Type	Max Length	Description/valid values
TR001	Record Type	char	2	PC
TR002	Reporting Entity Code	varchar	8	Distributed by HCCD Administrator
TR003	Reporting Entity Name	varchar	75	Distributed by HCCD Administrator
TR004	Beginning Month	date	6	CCYYMM
TR005	Ending Month	date	6	CCYYMM
TR006	Extraction Date	date	8	CCYYMMDD

6.3.3 Pharmacy Claims File

Data Element #	National Council for Prescription Drug Programs Field #	Data Element Name	Type	Length	Description/Codes/Sources	Required
PC001	N/A	Reporting Entity Code	varchar	8	Distributed by HCCD Administrator	R
PC002	N/A	Reporting Entity Name	varchar	30	Distributed by HCCD Administrator	R
PC003	N/A	Insurance Type/Product Code	char	2	See lookup table B-1.A	R
PC004	N/A	Reporting Entity Claim Control Number	varchar	35	Must apply to the entire claim and be unique within the Reporting Entity's system.	R
PC005	N/A	Line Counter	int	4	Line number for this service. The line counter begins with 1 and is incremented by 1 for each additional service line of a claim.	R
PC006	301-C1	Insured Group or Policy Number	varchar	30	Group or policy number - not the number that uniquely identifies the subscriber	R
PC007	302-C2	Subscriber Social Security Number	varchar	9	Subscriber's social security number; Set as null if unavailable	O
PC008	N/A	Plan Specific Contract Number	varchar	128	Plan assigned subscriber's contract number; Set as null if contract number = subscriber's social security number or use an alternate unique identifier such as Medicaid ID. Must be an identifier that is unique to the subscriber.	R
PC009	303-C3	Member Number	varchar	128	Unique number of the member within the contract. Must be an identifier that is	R

Data Element #	National Council for Prescription Drug Programs Field #	Data Element Name	Type	Length	Description/Codes/Sources	Required
					unique to the member. May include a combination of contract number and suffix number to be unique. This column is the unique identifying column for membership and related medical and pharmacy claims. Only one record per eligibility month per eligibility year. PC-009= ME-010 and MC-009	
PC010	302-C2	Member Identification Code	varchar	128	Member's social security number or Medicaid ID. Must be an identifier that is unique to the member. Used for matching member records.	O
PC130		Member Telephone Number	char	10	Member's telephone number on record with Reporting Entity; required if PC011 is blank or unknown; used for matching member records. Do not include parentheses, dashes or periods.	R if PC010 is blank
PC011		Individual Relationship Code	char	2	Member's relationship to insured Use Lookup Table B-1.B	R
PC012	305-C5	Member Gender	char	1	M – Male F – Female U – UNKNOWN	R
PC013	304-C4	Member Date of Birth	Date	8	CCYYMMDD	R

Data Element #	National Council for Prescription Drug Programs Field #	Data Element Name	Type	Length	Description/Codes/Sources	Required
PC014	N/A	Member City Name of Residence	varchar	50	City name of member	R
PC015	N/A	Member State or Province	char	2	As defined by the US Postal Service	R
PC016	N/A	Member ZIP Code	varchar	11	ZIP Code of member - may include non-US codes. Do not include dash. Plus 4 optional but desired.	R
PC017	N/A	Date Service Approved (AP Date)	date	8	CCYYMMDD – date claim paid if available, otherwise set to Date Prescription Filled	R
PC018	201-B1	Pharmacy Number	varchar	30	Reporting Entity assigned pharmacy number. AHFS number is acceptable.	O
PC019	N/A	Pharmacy Tax ID Number	varchar	10	Federal tax identification number coded with no punctuation (carriers that contract with outside PBM's will not have this)	TH
PC020	833-5P	Pharmacy Name	varchar	50	Name of pharmacy	R
PC021	N/A	National Provider ID Number	varchar	20	National Provider ID. This data element pertains to the entity or individual directly providing the service.	R
PC048	N/A	Pharmacy Location Street Address	varchar	30	Street address of pharmacy	O
PC022	831-5N	Pharmacy Location City	varchar	30	City name of pharmacy - preferably pharmacy location (if mail order null)	R
PC023	832-5O	Pharmacy Location State	char	2	As defined by the US Postal Service (if mail order null)	R
PC024	835-5R	Pharmacy ZIP Code	varchar	10	ZIP Code of pharmacy - may include non-US codes. Do not include dash. Plus 4 optional but desired (if mail order null)	R

Data Element #	National Council for Prescription Drug Programs Field #	Data Element Name	Type	Length	Description/Codes/Sources	Required
PC024d	N/A	Pharmacy Country Name	varchar	30	Code US for United States	R
PC025	N/A	Claim Status	char	2	See Lookup Table B-1.F	R
PC026	407-D7	Drug Code	varchar	11	NDC Code	R
PC027	516-FG	Drug Name	varchar	80	Text name of drug	R
PC028	403-D3	New Prescription or Refill	varchar	2	Older systems provide only an “N” for new or an “R” for refill, otherwise provide refill #	R
					01 - New prescription	
					02 - Refill	
PC029	425-DP	Generic Drug Indicator	char	2		R
					01 - branded drug	
					02 - generic drug	
PC030	408-D8	Dispense as Written Code	char	1	Please use Table B.1.H	R
PC031	406-D6	Compound Drug Indicator	char	1		O
					N - Non-compound drug	
					Y - Compound drug	
					U - Non-specified drug compound	
PC032	401-D1	Date Prescription Filled	date	8	CCYYMMDD	R
PC033	404-D4	Quantity Dispensed	int	5	Number of metric units of medication dispensed	R
PC034	405-D5	Days Supply	int	3	Estimated number of days the prescription will last	R
PC035	804-5B	Charge Amount	int	10	Do not code decimal point or provide any punctuation where $1,000.00 converted to	R

Data Element #	National Council for Prescription Drug Programs Field #	Data Element Name	Type	Length	Description/Codes/Sources	Required
					100000 Same for all financial data that follows.	
PC036	876-4B	Paid Amount	int	10	Includes all health plan payments and excludes all member payments. Do not code decimal point.	R
PC037	506-F6	Ingredient Cost/List Price	int	10	Cost of the drug dispensed. Do not code decimal point.	R
PC038	428-DS	Postage Amount Claimed	int	10	Do not code decimal point. Not typically captured.	O
PC039	412-DC	Dispensing Fee	int	10	Do not code decimal point.	R
PC040	817-5E	Co-pay Amount	int	10	The preset, fixed dollar amount for which the individual is responsible. Do not code decimal point.	R
PC041	N/A	Coinsurance Amount	int	10	The dollar amount an individual is responsible for – not the percentage. Do not code decimal point.	R
PC042	N/A	Deductible Amount	int	10	Do not code decimal point.	R
PC043	N/A	Unassigned			Reserved for assignment	O
PC044	N/A	Prescribing Physician First Name	varchar	25	Physician first name.	O if PC047 is filled with DEA #
PC045	N/A	Prescribing Physician Middle Name	varchar	25	Physician middle name or initial.	O if PC047 is filled with DEA #

Data Element #	National Council for Prescription Drug Programs Field #	Data Element Name	Type	Length	Description/Codes/Sources	Required
PC046	427-DR	Prescribing Physician Last Name	varchar	60	Physician last name.	O if PC047 is filled with DEA #; R if PC047 is not filled or contains NPI number
PC047	421-DZ	Prescribing Physician NPI	varchar	20	NPI number for prescribing physician	R
PC049		Member Street Address	varchar	50	Physical street address of the covered member	R
PC101	313-CD	Subscriber Last Name	varchar	128		R
PC102	312-CC	Subscriber First Name	varchar	128		R
PC103	N/A	Subscriber Middle Initial	char	1		O
PC104	311-CB	Member Last Name	varchar	128		R
PC105	310-CA	Member First Name	varchar	128		R
PC106	N/A	Member Middle Initial	char	1		O
PC201	N/A	Version Number	int	4	The version number of this claim service line. The original claim will have a version number of 0, with the next version being assigned a 1, and each subsequent version being incremented by 1 for that service line. Required Default YYMM	R

Data Element #	National Council for Prescription Drug Programs Field #	Data Element Name	Type	Length	Description/Codes/Sources	Required
PC202	N/A	Prescription Written Date	date	8	Date Prescription was written	R
PC047a	421-DZ	Prescribing Physician Provider ID	varchar	30	Provider ID for the prescribing physician	R
PC047b	421-DZ	Prescribing Physician DEA	varchar	20	DEA number for prescribing physician	O
PC899	N/A	Record Type	char	2	PC	R

6.4 Provider Data

Frequency: Monthly Upload via FTP or Web Portal
Additional formatting requirements:

- Reporting Entities submit data in a single, consistent format for each data type.
- A provider means a health care facility, health care practitioner, health product manufacturer, health product vendor or pharmacy.
- A billing provider means a provider or other entity that submits claims to health care claims processors for health care services directly or provided to a subscriber or member by a service provider.
- A service provider means the provider who directly performed or provided a health care service to a subscriber of member.
- One record submitted for each provider for each unique physical address.

6.4.1 Provider File Header Record

Data Element #	Data Element Name	Type	Max Length	Description/valid values
HD001	Record Type	char	2	MP
HD002	Reporting Entity Code	varchar	8	Distributed by HCCD Administrator
HD003	Reporting Entity Name	varchar	75	Distributed by HCCD Administrator
HD004	Beginning Month	Date	6	CCYYMM (Example: 200801)
HD005	Ending Month	Date	6	CCYYMM (Example: 200812)
HD006	Record count	int	10	Total number of records submitted in the Provider file, excluding header and trailer records

6.4.2 Provider File Trailer Record

Data Element #	Data Element Name	Type	Max Length	Description/valid values
TR001	Record Type	char	2	MP
TR002	Reporting Entity Code	varchar	8	Distributed by HCCD Administrator
TR003	Reporting Entity Name	varchar	75	Distributed by HCCD Administrator
TR004	Beginning Month	date	6	CCYYMM (Example: 200801)
TR005	Ending Month	date	6	CCYYMM (Example: 200812)
TR006	Extraction Date	date	8	CCYYMMDD

6.4.3 Provider File

Data Element #	Reference	Data Element Name	Type	Length	Description/Codes/Sources	Required
MP001	N/A	Provider ID	varchar	30	A unique identifier for the provider as assigned by the reporting entity. Needs to be unique within the MP file. One unique ID Per Provider. May include a unique combination of NPI and tax ID. MP-001= MC-024, PC047A	R
MP002	N/A	Provider Tax ID	varchar	10	Tax ID of the provider. Do not code punctuation.	R
MP003	N/A	Provider Entity	char	1	F – Facility G – Provider group I – IPA P – Practitioner	R
MP004	N/A	Provider First Name	varchar	25	Individual first name. Set to null if provider is a facility or organization.	R
MP005	N/A	Provider Middle Name or Initial	varchar	25		O
MP006	N/A	Provider Last Name or Organization Name	varchar	60	Full name of provider organization or last name of individual provider	R
MP007	N/A	Provider Suffix	varchar	10	Example: Jr.; null if provider is an organization. Do not use credentials such as MD or PhD	O
MP008	N/A	Provider Specialty	varchar	50	Report the HIPAA-compliant health care provider taxonomy code. Code set is	R

Data Element #	Reference	Data Element Name	Type	Length	Description/Codes/Sources	Required
					freely available at the National Uniform Claims Committee's web site at http://www.nucc.org/	
MP009	N/A	Provider Office Street Address	varchar	50	Physical address – address where provider delivers health care services	R
MP010	N/A	Provider Office City	varchar	30	Physical address – address where provider delivers health care services	R
MP011	N/A	Provider Office State	char	2	Physical address – address where provider delivers health care services. Use postal service standard 2 letter abbreviations.	R
MP012	N/A	Provider Office Zip	varchar	11	Physical address – address where provider delivers health care services. Minimum 5 digit code.	R
MP013	N/A	Provider DEA Number	varchar	12		TH
MP014	N/A	Provider NPI	varchar	20		TH
MP015	N/A	Provider State License Number	varchar	20	Prefix with two-character state of licensure with no punctuation. Example COLL12345	TH
MP016	N/A	Provider office Address	varchar	10	Physical address – address where provider delivers health care services: Suite number, floor number, Unit number, etc.	O
MP017	N/A	Provider Office phone number	varchar	10	Provider Office number: Telephone number where provider delivers health care services.	O
MP899	N/A	Record Type	char	2	MP	R

7 Lookup Tables

7.1 B.1.A Insurance Type

This table contains codes that may be applicable to Mandatory and Voluntary Reporting Entities.

12 Preferred Provider Organization (PPO)
13 Point of Service (POS)
15 Indemnity Insurance [applies to Voluntary Submitters only]
16 Health Maintenance Organization (HMO) Medicare Advantage
17 Dental Maintenance Organization (DMO) [applies to Voluntary Submitters only]
CI Commercial Insurance Company
DN Dental [applies to Voluntary Submitters only]
HM Health Maintenance Organization
HN HMO Medicare Risk/ Medicare Part C
MA Medicare Part A
MB Medicare Part B
MC Medicaid
MD Medicare Part D
MP Medicare Primary
QM Qualified Medicare Beneficiary
TV Title V
99 Other
SP – Medicare Supplemental (Medi-gap) plan
CP- Medicaid CHIP
MS-Medicaid Fee for service
MM- Medicaid Managed care
CS- Commercial Supplemental plan
SF- Self-Funded

7.2 B.1.B Relationship Codes

01 Spouse
04 Grandfather or Grandmother
05 Grandson or Granddaughter
07 Nephew or Niece
10 Foster Child
15 Ward
17 Stepson or Stepdaughter
19 Child
20 Employee/Self
21 Unknown
22 Handicapped Dependent
23 Sponsored Dependent
24 Dependent of a Minor Dependent
29 Significant Other
32 Mother
33 Father
36 Emancipated Minor
39 Organ Donor
40 Cadaver Donor
41 Injured Plaintiff
43 Child Where Insured Has No Financial Responsibility
53 Life Partner
76 Dependent

7.3 B.1.C Discharge Status

01 Discharged to home or self-care
02 Discharged/transferred to another short term general hospital for inpatient care
03 Discharged/transferred to skilled nursing facility (SNF)
04 Discharged/transferred to nursing facility (NF)
05 Discharged/transferred to another type of institution for inpatient care or referred for outpatient services to another institution
06 Discharged/transferred to home under care of organized home health service organization
07 Left against medical advice or discontinued care
08 Discharged/transferred to home under care of a Home IV provider
09 Admitted as an inpatient to this hospital
20 Expired
21 Discharged/Transferred To Court/Law Enforcement
30 Still patient or expected to return for outpatient services
40 Expired at home
41 Expired in a medical facility
42 Expired, place unknown
43 Discharged/ transferred to a Federal Hospital
50 Hospice – home
51 Hospice – medical facility
61 Discharged/transferred within this institution to a hospital-based Medicare-approved swing bed
62 Discharged/transferred to an inpatient rehabilitation facility including distinct parts of a hospital
63 Discharged/transferred to a long-term care hospital
64 Discharged/transferred to a nursing facility certified under Medicaid but not certified under Medicare
65 Discharged/transferred to a psychiatric hospital or psychiatric distinct part unit of a hospital
66 Discharged/transferred to a critical access hospital (cah)
69 Discharged/transferred to a designated disaster alternative care site (effective 10/1/13)

70 Discharged/transferred to another type of health care institution not defined elsewhere in this code list
81 Discharged to home or self care with a planned acute care hospital inpatient readmission (effective 10/1/13)
82 Discharged/transferred to a short term general hospital for inpatient care with a planned acute care hospital inpatient readmission (effective 10/1/13)
83 Discharged/transferred to a skilled nursing facility (SNF) with Medicare certification with a planned acute care hospital inpatient readmission (effective 10/1/13)
84 Discharged/transferred to a facility that provides custodial or supportive care with a planned acute care hospital inpatient readmission (effective 10/1/13)
85 Discharged/transferred to a designated cancer center or children's hospital with a planned acute care hospital inpatient readmission (effective 10/1/13)
86 Discharged/transferred to home under care of organized home health service organization in anticipation of covered skilled care with a planned acute care hospital inpatient readmission (effective 10/1/13)
87 Discharged/transferred to court/law enforcement with a planned acute care hospital inpatient readmission (effective 10/1/13)
88 Discharged/transferred to a federal health care facility with a planned acute care hospital inpatient readmission (effective 10/1/13)
89 Discharged/transferred to a hospital-based Medicare approved swing bed with a planned acute care hospital inpatient readmission (effective 10/1/13)
90 Discharged/transferred to an inpatient rehabilitation facility (irf) including rehabilitation distinct part units of a hospital with a planned acute care hospital inpatient readmission (effective 10/1/13)
91 Discharged/transferred to a Medicare certified long term care hospital (LTCH) with a planned acute care hospital inpatient readmission (effective 10/1/13)
92 Discharged/transferred to a nursing facility certified under Medicaid but not certified under Medicare with a planned acute care hospital inpatient readmission (effective 10/1/13)
93 Discharged/transferred to a psychiatric hospital or psychiatric distinct part unit of a hospital with a planned acute care hospital inpatient readmission (effective 10/1/13)
94 Discharged/transferred to a critical access hospital (CAH) with a planned acute care hospital inpatient readmission (effective 10/1/13)
95 Discharged/transferred to another type of health care institution not defined elsewhere in this code list with a planned acute care hospital inpatient readmission (effective 10/1/13)
OP: default '01' = home

P: default ‘00’ = unknown

7.4 B.1.D Type of Bill (Institutional claims ONLY)

Type of Facility First Digit	Bill Classification (Second digit if first is 1-6)	Bill Classification (Second Digit if First Digit = 7)	Bill Classification (Second Digit if First Digit = 8)	Frequency (Third digit)
1 Hospital	1 Inpatient (Including Medicare Part A)	1 Rural Health	1 Hospice (Non-Hospital Based)	1 admit through discharge
2 Skilled Nursing	2 Inpatient (Medicare Part B Only)	2 Hospital Based or Independent Renal Dialysis Center	2 Hospice (Hospital-Based)	2 interims - first claim used for the…
3 Home Health	3 Outpatient	3 Free Standing Outpatient Rehabilitation Facility (ORF)	3 Ambulatory Surgery Center	3 interim - continuing claims
4 Christian Science Hospital	4 Other (for hospital referenced diagnostic services or home health not under a plan of treatment)	5 Comprehensive Outpatient Rehabilitation Facilities (CORFs)	4 Free Standing Birthing Center	4 interim - last claim
5 Christian Science Extended Care	5 Nursing Facility Level I	6 Community Mental Health Center	9 Other	5 late charge only
6 Intermediate Care	6 Nursing Facility Level II	9 Other		7 replacement of prior claim
7 Clinic	7 Intermediate Care - Level III Nursing Facility			8 void/cancel of a prior claim
8 Special Facility	8 Swing Beds			9 final claim for a home

7.5 B.1.E Place of Service

01 Pharmacy
02 Telehealth
03 School
04 Homeless Shelter
05 Indian Health Service Free-standing Facility
06 Indian Health Service Provider-based Facility
07 Tribal 638 Free-standing Facility
08 Tribal 638 Provider-based Facility
09 Prison/Correctional Facility
11 Office
12 Home
13 Assisted Living Facility
14 Group Home
15 Mobile Unit
16 Temporary Lodging
17 Walk-in Retail Health Clinic
18 Place of Employment-Worksite
19 Off Campus-Outpatient Hospital
20 Urgent care Facility
21 Inpatient Hospital
22 On Campus-Outpatient Hospital
23 Emergency Room - Hospital
24 Ambulatory Surgery Center
25 Birthing Center
26 Military Treatment Facility
31 Skilled Nursing Facility
32 Nursing Facility
33 Custodial Care Facility

34 Hospice
41 Ambulance - Land
42 Ambulance - Air or Water
49 Independent Clinic
50 Federally Qualified Health Center
51 Inpatient Psychiatric Facility
52 Psychiatric Facility Partial Hospitalization
53 Community Mental Health Center
54 Intermediate Care Facility/Mentally Retarded
55 Residential Substance Abuse Treatment Facility
56 Psychiatric Residential Treatment Center
57 Non-residential Substance Abuse Treatment Facility
60 Mass Immunization Center
61 Comprehensive Inpatient Rehabilitation Facility
62 Comprehensive Outpatient Rehabilitation Facility
65 End Stage Renal Disease Treatment Facility
71 State or Local Public Health Clinic
72 Rural Health Clinic
81 Independent Laboratory
99 Other Unlisted Facility

7.6 B.1.F Claim Status

01 Processed as primary
02 Processed as secondary
03 Processed as tertiary
19 Processed as primary, forwarded to additional Reporting Entity(s)
20 Processed as secondary, forwarded to additional Reporting Entity(s)
21 Processed as tertiary, forwarded to additional Reporting Entity(s)
22 Reversal of previous payment

7.7 B.1.G Present on Admission Codes

POA_Code	POA_Desc
3	Unknown
1	Exempt for POA reporting
E	Exempt for POA reporting
N	Diagnosis was not present at time of inpatient admission
U	Documentation insufficient to determine if condition was present at time of inpatient admission
W	Clinically undetermined
Y	Diagnosis was present at time of inpatient admission

7.8 B.1.H Dispense as Written Code

0 Not dispensed as written
1 Physician dispense as written
2 Member dispense as written
3 Pharmacy dispense as written
4 No generic available
5 Brand dispensed as generic
6 Override
7 Substitution not allowed - brand drug mandated by law
8 Substitution allowed - generic drug not available in marketplace
9 Other

7.9 B.1.I Benefit Coverage Level

CHD	Children Only
DEP	Dependents Only

ECH	Employee and Children
EPN	Employee plus N where N equals the number of other covered dependents
ELF	Employee and Life Partner
EMP	Employee Only
ESP	Employee and Spouse
FAM	Family
IND	Individual
SPC	Spouse and Children
SPO	Spouse Only